

FORM NO. MGT-9
EXTRACT OF ANNUAL RETURN

As on the financial year ended 31st March, 2021

[Pursuant to Section 92(3) of the Companies Act, 2013, and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

1. REGISTRATION AND OTHER DETAILS

i	CIN	L27102OR1979PLC000819
ii	Registration Date	9 th April, 1979
iii	Name of the Company	Orissa Sponge Iron And Steel Limited
iv	Category /Sub-Category of the Company	Public Listed Company having Share Capital
v	Address of the Registered office and contact details	OSIL House, Gangadhar Meher Marg, Bhubaneswar-751024, Phone: 011-43742000 E-mail: corporate@orissasponge.com , Website: www.orissasponge.com
vi	Whether listed company Yes/ No	Yes
vii	Name, Address and Contact details of Registrar and Transfer Agent, if any	Skyline Financial Services Private Limited D-153/A, 1st Floor, Okhla Industrial Area, Phase-I, New Delhi-110020 Phone: 011- 011- 40450193-97, Email - compliances@skylinerta.com

2. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products/ services	NIC Code of the Product/ service	% to total turnover of the company
1	Sponge Iron	24102	NIL
2	Power generated from waste heat	35102	NIL
3.	Sale of Technology & Engineering Services	71100	NIL

3. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sl. No.	Name and address of the Company	CIN/GLN	Holding/Subsidiary/ Associate	% of Shares held	Applicable Section
Not Applicable					

4. SHARE HOLDING PATTERN

i) (a) Equity share Capital Breakup as percentage of Total Equity

Category of Shareholders	No. of shares held at the beginning of the year				No. of shares held at the end of the year				% change during the Year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoter									
1. Indian									
a) Individual/HUF	2566244	-	2566244	8.6144	2566244	-	2566244	8.6144	-
b) Central Govt.	-	-	-	-	-	-	-	-	-
c) State Govt.	1455999	-	1455999	4.8875	1455999	-	1455999	4.8875	-
d) Bodies Corporate	3682190	-	3682190	12.3604	3682190	-	3682190	12.3604	-
e) Banks/FI	-	-	-	-	-	-	-	-	-
f) Any Other	138500	-	138500	0.4649	138500	-	138500	0.4649	-
Sub- Total (A)(1)	7842933	-	7842933	26.3274	7842933	-	7842933	26.3274	
2. Foreign									
a) NRIs-Individuals	-	-	-	-	-	-	-	-	-
b) Other-Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corporate	-	-	-	-	-	-	-	-	-
d) Banks/FI	-	-	-	-	-	-	-	-	-

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Category of Shareholders	No. of shares held at the beginning of the year				No. of shares held at the end of the year				% change during the Year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
e) Any Other	-	-	-	-	-	-	-	-	-
Sub- Total (A)(2)	-	-	-	-	-	-	-	-	-
Total Shareholding of Promoters(A)= (A)(1)+(A)(2)	7842933	0	7842933	26.3272	7842933	0	7842933	26.3272	-
B. Public Shareholding									
1. Institutions									
a) Mutual Funds/UTI	3775	11837	15612	0.052	3775	11837	15612	0.052	-
b) Banks/FI	2000000	1240	2001240	6.718	2000000	1240	2001240	6.718	-
c) Central Govt.	-	-	-	-	-	-	-	-	-
d) State Govt.	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
a) FIs	200	-	200	0.0006	200	-	200	0.0006	-
b) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others(specify)	-	-	-	-	-	-	-	-	-
NBFC registered with RBI	1187	-	1187	0.003	1187	-	1187	0.003	
Foreign Bank									-18.462
Sub-Total (B)(1)	2005162	13077	2018239	6.7748	2005162	13077	2018239	6.7748	-18.462
2. Non-Institution									
a) Bodies Corporate									
i) Indian	15776867	2864592	18641459	62.5762	15775742	2864592	18640334	62.5725	(0.0037)
ii) Overseas	-	-	-	-	-	-	-	-	-
b) individuals									
i) individual Shareholders holding nominal share capital up to Rs. 1 lakh	431075	308877	739952	2.4838	432260	308590	740850	2.4869	0.0031
ii) Individual Shareholders holding nominal share capital in excess of Rs.1 lakh	512596	-	512596	1.7206	512596	-	512596	1.7206	0
b) Others (specify)	34821	-	34821	0.1168	35048	-	35048	0.1176	.0008
Sub –Total(B)(2)	16755359	3173469	19928828	66.8958	16755646	3173182	19928828	66.8975	0.0017
Total Public Shareholding (B)=(B)(1)+(B)(2)	18760521	3186546	21947067	73.6717	18760808	3186259	21947067	73.6717	-
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	26603454	3186546	29790000	100	26603741	3186259	29790000	100	
D. Non Promoter-Non Public									
Custodian /DR Holder	-	-	-	-	-	-	-	-	-
Employee Benefit Trust Under SEBI (Share based Employee Benefit) Regulations, 2014	-	-	-	-	-	-	-	-	-
Total(A) +(B)+(C)+(D)	26603741	3186259	29790000	100	26603741	3186259	29790000	100	

(i) (b) **SHARE HOLDING PATTERN (Preference Share Capital Breakup as percentage of Total Preference Capital)**

Category-wise Share Holding

Category of Shareholders	No. of Shares held at the end of the year [As on 31 st March, 2020]				No. of Shares held at the end of the year [As on 31 st March, 2021]				% change during the Year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/ HUF	0	0	0	0	0	0	0	0	0
b) Central Govt	0	0	0	0	0	0	0	0	0
c) State Govt(s)	0	0	0	0	0	0	0	0	0
d) Bodies Corp.	0	0	0	0	0	0	0	0	0
e) Banks / FI	0	0	0	0	0	0	0	0	0
f) Any other	0	0	0	0	0	0	0	0	0
Sub-total (A)(1)	0	0	0	0	0	0	0	0	0
(2) Foreign	0	0	0	0	0	0	0	0	0
(a) NRIs-Individuals	0	0	0	0	0	0	0	0	0
(b) Other-individuals	0	0	0	0	0	0	0	0	0
(c) Bodies Corporate	0	0	0	0	0	0	0	0	0
(d) Banks / FI	0	0	0	0	0	0	0	0	0
(e) Any other	0	0	0	0	0	0	0	0	0
Sub-total (A) (2):-	0	0	0	0	0	0	0	0	0
Total shareholding of Promoter (A) = (A)(1) + (A)(2)	NIL	0	0	0	0	0	0	0	-
B. Public Shareholding									
1. Institutions	0	0	0	0	0	0	0	0	0
a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Banks / FI	0	0	0	0	0	0	0	0	0
c) Central Govt.	0	0	0	0	0	0	0	0	0
d) State Govt.(s)	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (specify)	0	0	0	0	0	0	0	0	0
Sub-total (B)(1):-	0	0	0	0	0	0	0	0	0
2. Non-Institutions									
a) Bodies Corp.	0	0	0	0	0	0	0	0	0
i) Indian	20000000	0	20000000	100	20000000	0	20000000	100	Nil
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals	0	0	0	0	0	0	0	0	0
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	NIL	0	0	0	0	0	0	0	0
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	NIL	0	0	0	0	0	0	0	0
c) Others (specify)	0	0	0	0	0	0	0	0	0
Sub-total (B)(2):-	20000000	0	20000000	100	20000000	0	20000000	100	Nil
Total Public Shareholding(B)=(B)(1)+ (B)(2)	0	0	0	0	0	0	0	0	0
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	20000000	0	20000000	100	20000000	0	20000000	100	Nil

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ii) Equity Shareholding of Promoters

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged/encumbered to total shares	
1.	Torsteel Research Foundation In India	138500	0.464	0.352	138500	0.464	0.352	-
2.	TRFI Investment Pvt. Ltd	3682190	12.361	12.361	3682190	12.361	12.361	-
3.	Industrial Promotion & Investment Corp. of Odisha Ltd.	1455999	4.888	-	1455999	4.888	-	-
4.	Dr. Prasanta Kumar Mohanty	2527644	8.484	8.098	2527644	8.484	8.098	-
5.	Mrs. M.Mohanty	38600	0.129	0.129	38600	0.129	0.129	-
	Total	7842933	26.327	20.941	7842933	26.327	20.941	-

iii) Change in Promoters Shareholding (Please specify, if there is no change)

Sl. No.	Particulars	Shareholding at the beginning of the year (01.04.2020)		Cumulative Shareholding during the year(31.03.2021)	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	7842933	26.32	7842933	26.32
	Date wise Increase/Decrease in Promoters shareholding during the year specifying the reasons for increase decrease (e.g. allotment /transfer /bonus/ sweat equity etc):	-	-	-	-
	At the End of the year	7842933	26.32	7842933	26.32

There is no change in Promoters' Shareholding between 01.04.2020 to 31.03.2021

iv) Shareholding Pattern of Top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)

Sl. No.	Name of the Top 10 Shareholders	Shareholding at the beginning of the year		Shareholding at the end of the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	BKM Mining Private Limited	5500000	18.463	5500000	18.463
2.	SRN Minerals and Mining Private Limited	3554692	11.932	3554692	11.932
3.	Saini Alloys Pvt. Ltd.	2790000	9.366	2790000	9.366
4.	ICICI Bank Ltd.	2000000	6.714	2000000	6.714
5.	Monnet Ispat & Energy Ltd.	1994633	6.696	1994633	6.696
6.	Ultra Modern Exports Private Ltd.	999900	3.357	999900	3.357
7.	Multistar Construction Private Ltd	999900	3.357	999900	3.357
8.	Matchless Infrastructure Private Ltd	803298	2.696	803298	2.696
9.	Brightsun Merchants Private Ltd	647936	2.1750	647936	2.1750
10.	BNS Tour and Travel Pvt Ltd	513951	1.7252	513951	1.7252

(v) Shareholding of Directors and Key Managerial Personnel

Sl. No.	Name of shareholders	Shareholding at the beginning of the year		Shareholding at the end of the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	Dr. Prasanta Kumar Mohanty				
	At the Beginning of the year	2527644	8.48	2527644	8.48%
	Date –wise Increase / Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment / transfer /bonus/sweat equity etc)	None		None	
	At the end of the year	2527644	8.48	2527644	8.48%

vi) Indebtness

Indebtedness of the Company including interest outstanding /accrued but not due for payment:

(Amount in Lakhs)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtness at the beginning of the financial year				
i) Principal Amount	8,470.47	297.13	-	8,767.60
ii) Interest due but not paid	175.44	-		
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	8,645.91	297.13	-	8,943.04
Change in indebtedness during the financial year				
Addition	4,413.81	-		4,413.81
Reduction	-	-	-	-
Net Change	4,413.81	-		4,413.81
Indebtness at the end of the financial year				
i) Principal Amount	10,107.47	297.13		10,404.60
ii) Interest due but not paid	178.42	-	-	-
iii) Interest accrued but not due	2,773.83	-	-	-
Total (i+ii+iii)	13,059.72	297.13		13,356.85

I REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**A. Remuneration to Managing Director, Whole-time Directors and / or Manager**

(Amount in Rs.)

Sl. No.	Particulars of Remuneration	Dr. P.K. Mohanty	Mr. Subhash Mishra	Mr. Neeraj Kumar	Total
1.	Gross Salary				
	(a) Salary as per provision contained in Section 17(1) of the Income –tax Act, 1961	NIL	12,50,000	10,32,000	22,82,000
	(b) Value of perquisites u/s 17(2) of the Income tax, Act,1961				
	(c) Profits in lieu of salary under Section 17(3) of the Income – tax Act, 1961				
2.	Stock Option	NIL		NIL	NIL
3.	Sweat Equity	NIL		NIL	NIL
4.	Commission	NIL		NIL	NIL
	- as % of profit	NA		NA	NA
	- others, specify				
5.	Others, please specify(Contribution to Provident Fund, Pension , Gratuity and Superannuation Funds	NA		NA	NA
6.	Total(A)	NIL		NIL	NIL
	Ceiling as approved by the Central Government (exclusive of exempted items)	NIL		NIL	NIL

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B. REMUNERATION TO OTHER DIRECTORS:

(Amount in Rs.)

S. No.	Particulars of Remuneration	Name of Directors			Total Amount
		Mrs. Ekta Sharma	Mr. Dheeraj Singh Negi	Mr. Dibyendu Kumar Senapati (Nominee Director)	
	Directors <ul style="list-style-type: none"> • Fee for attending board committee meetings • Commission • Others, please specify 	50,000	-	40,000	90,000
	Total (1)	50,000	-	40,000	90,000
	Other Non-Executive Directors <ul style="list-style-type: none"> • Fee for attending board committee meetings • Commission • Others, please specify 				
	Total (2)	-	-	-	
	Total(B)= (1+2)	50,000	-	40,000	90,000

C. Remuneration to Key Managerial Personnel Other than MD/Manager/WTD

(Amount in Rs.)

Sl. No.	Particulars of Remuneration	Key Managerial Personnel's		
		Mr. Vikal Madan (Company Secretary Upto 31.12.2020)	Mrs Harpreet Parashar (Company Secretary) w.e.f. 01.01.2021	Mr. Chandan Kumar Mishra (CFO)
1.	Gross Salary			
	(a) Salary as per provisions contained in Section 17(1)	5,40,000	1,80,000	7,20,000
	(b) Value of perquisites u/s 17(2) of the Income-tax Act, 1961	-		-
	(c) Profit in lieu of salary under Section 17(3) of the Income-tax Act, 1961	-		-
2.	Stock Option	-		-
3.	Sweat Equity	-		-
4.	Commission	-		-
	- as % of profit			
	- other, specify	-		-
5.	Others, please specify (Contribution to provident Fund, Pension, Gratuity & Superannuation Fund)	-		-
6.	Total	5,40,000	1,80,000	7,20,000

II PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/Compounding fees imposed	Authority (RD/ NCLT/ Court)	Appeal made if any (give details)
A. COMPANY					
Penalty			NIL		
Punishment					
Compounding					
B. DIRECTORS					
Penalty			NIL		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty			NIL		
Punishment					
Compounding					